

**Form 2501—General Information
(Application for Registration as an Athlete Agent)**

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant code provisions. *This form and the information provided are not substitutes for the advice and services of an attorney.*

Commentary

Acting as an athlete agent in Texas requires registration with the secretary of state, as provided in [Chapter 2051 of the Texas Occupations Code](#) and the secretary of state's administrative rules found in [1 Texas Administrative Code Chapter 78](#). Sections 2051.102, Occupations Code and 78.1, Administrative Code, set forth the application requirements for athlete agent registration. A registration is valid for one year and may be renewed.

Instructions for Form

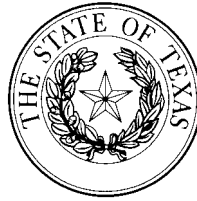
A document on file with the secretary of state is a public record subject to public access and disclosure. When providing address or telephone information, use a business or post office box address rather than a residence address if privacy concerns are an issue.

Submission of this application is consent for the secretary of state to conduct a criminal background check on the applicant.

- **Types of Registration:** Texas has two types of athlete agents: professional and limited. Both of these are registrations held by individuals, not organizations.
Professional athlete agents must be certified by at least one national professional sports association, such as the National Football League Players Association, National Basketball Players Association, Major League Baseball Players Association, National Hockey League Players' Association, or United States Soccer Federation.
Limited athlete agents are not required to be certified by a national professional sports association, but may only represent an athlete in a sport that does not have a national professional sports association that certifies or licenses agents, such as golf or tennis.
- **Attachments:** The following items *must* be included with the application:
 1. Financial services surety bond for \$100,000 OR affidavit (Form 2502 or 2503);
 2. Surety bond for \$50,000 (Form 2504);
 3. Separate supplement for each financially interested party (Form 2506); and
 4. Applicant contact sheet (Form 2507).
- **Execution:** The application must be signed by the named applicant.
- **Payment and Delivery Instructions:** The filing fee for an athlete agent registration is **\$500**. Fees may be paid by personal checks, money orders, LegalEase debit cards or American Express, Discover, MasterCard, and Visa credit cards. Checks or money orders must be payable through a U.S. bank or financial institution and made payable to the secretary of state. Fees paid by credit card are subject to a statutorily authorized convenience fee of 2.7 percent of the total fees.

The completed form and filing fee may be mailed to Registrations Unit, P.O. Box 13193, Austin, Texas 78711-3193; or delivered to the James Earl Rudder Office Building, 1019 Brazos, Austin, Texas 78701. The secretary of state will issue a certificate of registration upon filing of a completed and approved application.

Submit to: SECRETARY OF STATE
Registrations Unit
P O Box 13193
Austin, TX 78711-3193
512-475-0775
512-475-2815 - Fax
Filing Fee: \$500



**APPLICATION FOR
REGISTRATION AS AN
ATHLETE AGENT**

Applicant Information

1. Name of Applicant: _____

2. Principal Business Address: *Street* _____ *City* _____ *State* _____ *Zip* _____

3. All trade names or entities under which Applicant will provide athlete agent services: _____

Background & Experience

4. Business or occupation for the last five years: _____

5. Formal training, practical experience, and education related to professional athlete agent activities:

6. Name, sport, and last known team for each person the applicant represented as an athlete agent during the last five years:

Name _____ *Sport* _____ *Team* _____

Name _____ *Sport* _____ *Team* _____

Name _____ *Sport* _____ *Team* _____

Name _____ *Sport* _____ *Team* _____

Name _____ *Sport* _____ *Team* _____

Name _____ *Sport* _____ *Team* _____

USE AN ADDITIONAL SHEET IF NECESSARY

7. Are you currently registered as an athlete agent in any state other than Texas? Yes No

If you answered "yes" to Item 7, list all states where you are currently registered as an athlete agent:

Certifications

8. Are you currently certified or licensed as an athlete agent by a national professional sports association?

No. This is an application for registration as a limited athlete agent.

Yes. The following national professional sports associations have certified me as an athlete agent:

LIST ALL CERTIFICATIONS HELD

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

USE AN ADDITIONAL SHEET IF NECESSARY

Fitness

9. Have you been found guilty of a crime (other than a class C misdemeanor)? Yes No

10. Has there been an administrative or judicial finding that you made a false, misleading, deceptive, or fraudulent representation? Yes No

11. Have you been sanctioned (including imposition of a fine) or suspended in relation to occupational or professional conduct? Yes No

12. Have you been denied a certificate of registration or license as an athlete agent? Yes No

13. Have you had a certificate of registration or license as an athlete agent suspended? Yes No

14. Have you had a certificate of registration or license as an athlete agent revoked? Yes No

15. Have you engaged in conduct that resulted in the imposition on an athlete or educational institution of a sanction, suspension, or declaration of ineligibility to participate in an interscholastic or intercollegiate athletic event? Yes No

16. If you answered "yes" to any of the above, in the space below you must provide a statement of (i) the nature, circumstances, date, and location, and (ii) whether the matter is on appeal. Also attach copies of related documentation, including settlement agreement, court order and sentence, and papers pertaining to release from probation, if applicable.

USE AN ADDITIONAL SHEET IF NECESSARY.

References

Provide the name and address of three professional references.

Name: _____ Phone: _____

Address: _____
Street City State Zip

Name: _____ Phone: _____

Address: _____
Street City State Zip

Name: _____ Phone: _____

Address: _____
Street City State Zip

Financially Interested Parties

Identify each person with an ownership interest in or right to receive profits from your business. You must complete a "Supplement for Financially Interested Party" for each person identified below

Note for applicants who are members of the State Bar of Texas: You must include the name and address of each person who is involved in the activities of the athlete agent, but you are not required to identify members of your firm who are not involved in the athlete agent activities.

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

Name: _____

Address: _____
Street City State Zip

USE AN ADDITIONAL SHEET IF NECESSARY

Attachments

The following items are included with the application:

Financial services affidavit (Form 2502) or \$100,000 surety bond (Form 2503);

- \$50,000 surety bond (Form 2504);
- Separate supplement for each financially interested party (Form 2506); and
- Applicant contact sheet (Form 2507).

Date: _____

Execution

Signature of Applicant

Printed or typed name

Form 2502—General Information
(Athlete Agent Financial Services Affidavit)

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant code provisions. *This form and the information provided are not substitutes for the advice and services of an attorney.*

Commentary

Pursuant to sections 2051.151(a-1), Occupations Code and 78.1, 78.51, and 78.52, Administrative Code, an application for registration (Form 2501) must be accompanied by either a \$100,000 surety bond or an affidavit stating that the applicant has not entered into a financial services contract or provided financial services for an athlete, nor does the agent intend to.

Submit either Form 2502 (this form) or Form 2503 (financial services bond form), but not both.

Revised 09/2017

Submit with Application (Form 2501)
to:
SECRETARY OF STATE
Registrations Unit
P O Box 13193
Austin, TX 78711-3193
512-475-0775
512-475-2815 - Fax
Filing Fee: None.



**ATHLETE AGENT FINANCIAL
SERVICES AFFIDAVIT**

Identifying Information

Name of Applicant: _____

Statement

I, the individual named above, being of sound mind, capable of making this affidavit, personally acquainted with the facts herein, and having been first duly sworn certify that:

I have not entered into a financial services contract with an athlete.

I have not provided financial services to an athlete, including making and executing investment and other financial decisions for the athlete.

I do not intend to enter into financial services contracts with athletes, and I will not enter into a financial services contract or provide financial services to an athlete without first posting a \$100,000 surety bond with the Texas secretary of state.

As used in this Statement, "financial services contract" and "athlete" have the meanings assigned by sections 2051.001(4) and 2051.001(2), Texas Occupations Code, respectively.

Execution

Date: _____

Signature of Affiant

Printed or typed name

State of _____)

County of _____)

Sworn to and subscribed before me this _____ day of _____, 20 ____.

(seal)

Notary Public Signature

Form 2503—General Information
(Athlete Agent Financial Services Surety Bond)

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant code provisions. *This form and the information provided are not substitutes for the advice and services of an attorney.*

Commentary

Pursuant to sections 2051.151(a-1), Occupations Code and 78.1, 78.51, and 78.52, Administrative Code, an application for registration (Form 2501) must be accompanied by either a \$100,000 surety bond or an affidavit stating that the applicant has not entered into a financial services contract or provided financial services for an athlete, nor does the agent intend to. This form may be used for the surety bond.

The bond must conform to the Insurance Code and be issued by a surety company authorized to do business in Texas.

Submit either Form 2502 (financial services affidavit) or Form 2503 (this form), but not both.

Instructions for Form

- **Principal/Athlete Agent/Applicant:** Provide the name and address of the athlete agent/applicant filing the surety bond.

A document on file with the secretary of state is a public record subject to public access and disclosure. When providing address information, use a business or post office box address rather than a residence address if privacy concerns are an issue.

- **Bond:** Provide the number used by the surety company to identify the bond.
- **Surety:** Provide the name of the surety company. The surety company must be authorized to do business as a surety company in Texas.

Revised 09/2017

Submit with Application (Form 2501) to: SECRETARY OF STATE Registrations Unit P O Box 13193 Austin, TX 78711-3193 512-475-0775 512-475-2815 - Fax Filing Fee: None.



ATHLETE AGENT FINANCIAL SERVICES SURETY BOND

PRINCIPAL/ ATHLETE AGENT/ APPLICANT Name: Street City State Zip BOND Number: Amount : One hundred thousand dollars (\$100,000.00) SURETY Name:

We, PRINCIPAL AND SURETY identified above, our heirs, executors, successors and assigns, jointly and severally, are liable to the State of Texas, for the amount of the bond shown, in favor of the state for the benefit of a person damaged by PRINCIPAL's violation of Chapter 2051, Occupations Code. SURETY acknowledges that it is duly authorized and qualified to do business as a surety company in Texas.

This agreement is subject to the following terms and conditions:

The bond shall be maintained for not less than two years after the later of (i) the date that PRINCIPAL ceases to provide financial services to an athlete; or (ii) the date the athlete agent's certificate of registration expires, or is revoked, surrendered, or cancelled.

This bond shall serve as the security required by § 2051.151(a-1), Occupations Code, so that PRINCIPAL may enter into a financial services contract with an athlete.

As a condition of SURETY's obligation, PRINCIPAL shall (1) faithfully discharge all obligations, duties and responsibilities under Chapter 2051, Occupations Code, as that statute is presently worded, and as it may hereafter be amended to read; (2) pay money owed to an individual or group of individuals when PRINCIPAL or PRINCIPAL's representative or agent receives the money; and (3) pay damages to an athlete caused by the intentional misrepresentation, fraud, deceit, or unlawful or negligent act or omission of the PRINCIPAL or the PRINCIPAL'S representative or employee while acting within the scope of the financial services contract. Any party injured by PRINCIPAL's failure to meet the conditions of the bond may bring an action based on the bond and recover against the bond.

The aggregate liability of SURETY for all breaches of bond conditions may not exceed the amount of the bond.

Regardless of the number of years the bond continues in force or the number of premiums paid or payable, the limit of SURETY's liability stated in the bond shall not be cumulative from year to year or period to period.

The bond shall not be subject to cancellation by either PRINCIPAL or SURETY unless written notice of intent to cancel is forwarded to the Secretary of State, Registrations Unit, not later than the 60th day before the date of cancellation. If the cancellation is at the request of SURETY, SURETY shall also provide PRINCIPAL with written notification at least 60 days prior to the effective date of cancellation.

It is agreed that the bond shall be in full force and effect as of the following date:

Date:

Signature of authorized person for PRINCIPAL

Printed or typed name of authorized person for PRINCIPAL

Date:

Signature of authorized person for SURETY

Printed or typed name of authorized person for SURETY

**Form 2504—General Information
(Athlete Agent Surety Bond)**

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant code provisions. *This form and the information provided are not substitutes for the advice and services of an attorney.*

Commentary

Pursuant to sections 2051.151(a), Occupations Code and 78.1 and 78.51, Administrative Code, an application for registration (Form 2501) must be accompanied by a \$50,000 surety bond. The bond must conform to the Insurance Code and be issued by a surety company authorized to do business in Texas. This form may be used for the surety bond.

Instructions for Form

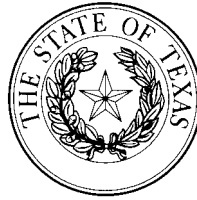
- **Principal/Athlete Agent/Applicant:** Provide the name and address of the athlete agent/applicant filing the surety bond.

A document on file with the secretary of state is a public record subject to public access and disclosure. When providing address information, use a business or post office box address rather than a residence address if privacy concerns are an issue.

- **Bond:** Provide the number used by the surety company to identify the bond.
- **Surety:** Provide the name of the surety company. The surety company must be authorized to do business as a surety company in Texas.

Revised 09/2017

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to:
SECRETARY OF STATE
Registrations Unit
P O Box 13193
Austin, TX 78711-3193
512-475-0775
512-475-2815 - Fax
Filing Fee: None.



ATHLETE AGENT
SURETY BOND

PRINCIPAL/ Name: _____
ATHLETE AGENT/ _____
APPLICANT Street City State Zip
BOND Number: _____ Amount : Fifty thousand dollars (\$50,000.00)
SURETY Name: _____

We, PRINCIPAL AND SURETY identified above, our heirs, executors, successors and assigns, jointly and severally, are liable to the State of Texas, for the amount of the bond shown, in favor of the state for the benefit of a person damaged by PRINCIPAL's violation of Chapter 2051, Occupations Code. SURETY acknowledges that it is duly authorized and qualified to do business as a surety company in Texas.

This agreement is subject to the following terms and conditions:

The bond shall be maintained for not less than two years after the later of (i) the date that PRINCIPAL ceases to provide financial services to an athlete; or (ii) the date the athlete agent's certificate of registration expires, or is revoked, surrendered, or cancelled.

This bond shall serve as the security required by § 2051.151(a), Occupations Code, so that PRINCIPAL may contact an athlete or enter into a contract with an athlete.

As a condition of SURETY's obligation, PRINCIPAL shall (1) faithfully discharge all obligations, duties and responsibilities under Chapter 2051, Occupations Code, as that statute is presently worded, and as it may hereafter be amended to read; (2) pay any administrative penalty under subchapter J, Chapter 2051; and (3) pay damages awarded to an institution of higher education or an athlete as a result of the agent offering or providing a thing of value to an athlete or family member of the athlete. Any party injured by PRINCIPAL's failure to meet the conditions of the bond may bring an action based on the bond and recover against the bond.

The aggregate liability of SURETY for all breaches of bond conditions may not exceed the amount of the bond.

Regardless of the number of years the bond continues in force or the number of premiums paid or payable, the limit of SURETY's liability stated in the bond shall not be cumulative from year to year or period to period.

The bond shall not be subject to cancellation by either PRINCIPAL or SURETY unless written notice of intent to cancel is forwarded to the Secretary of State, Registrations Unit, not later than the 60th day before the date of cancellation. If the cancellation is at the request of SURETY, SURETY shall also provide PRINCIPAL with written notification at least 60 days prior to the effective date of cancellation.

It is agreed that the bond shall be in full force and effect as of the following date: _____

Date: _____

Signature of authorized person for PRINCIPAL

Printed or typed name of authorized person for PRINCIPAL

Date: _____

Signature of authorized person for SURETY

Printed or typed name of authorized person for SURETY

Form 2506—General Information
(Supplement to Athlete Agent Application/Renewal for Financially Interested Party)

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant code provisions. *This form and the information provided are not substitutes for the advice and services of an attorney.*

Commentary

An application for registration must provide information regarding the moral character of parties who are financially interested in the applicant's athlete agent business, including persons with an ownership interest in, or right to receive profits from, the business.

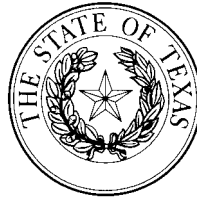
This form is required to be submitted with an application or renewal.

Instructions for Form

A document on file with the secretary of state is a public record subject to public access and disclosure. When providing address or telephone information, use a business or post office box address rather than a residence address if privacy concerns are an issue.

Revised 09/2017

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to:
SECRETARY OF STATE
Registrations Unit
P O Box 13193
Austin, TX 78711-3193
512-475-0775
512-475-2815 - Fax
Filing Fee: None.



**SUPPLEMENT TO
ATHLETE AGENT
APPLICATION/RENEWAL FOR
FINANCIALLY INTERESTED
PARTY**

Athlete Agent/Applicant: _____

Complete a separate form for each person identified as a financially interested party on the application or renewal for the above-named applicant. Submit the completed supplements with the application or renewal.

1. Name: _____

2. Principal _____

Business Address: Street City State Zip

Fitness

Select the appropriate box to indicate whether the person named above has been subject to any of the following:

3. A finding of guilt for a crime (other than a class C misdemeanor). Yes No

4. An administrative or judicial finding that the person made a false, misleading, deceptive, or fraudulent representation. Yes No

5. A sanction (including a fine) or suspension related to occupational or professional conduct. Yes No

6. A denial of an application for a certificate of registration or license as an athlete agent. Yes No

7. A denial, revocation, or suspension of a certificate of registration or license as an athlete agent. Yes No

8. Engaged in conduct resulting in the imposition in an athlete or educational institution of a sanction, suspension, or declaration of ineligibility to participate in an interscholastic or intercollegiate athletic event. Yes No

9. If you answered "yes" to any of the above, in the space below you must provide a statement of (i) the nature, circumstances, date, and location, and (ii) whether the matter is on appeal. Also attach copies of related documentation, including settlement agreement, court order and sentence, and papers pertaining to release from probation, if applicable.

USE AN ADDITIONAL SHEET IF NECESSARY.

Submit with Application (Form 2501)
to:
SECRETARY OF STATE
Registrations Unit
P O Box 13193
Austin, TX 78711-3193
512-475-0775
512-475-2815 - Fax



**ATHLETE AGENT APPLICANT
CONTACT SHEET**

Name of Applicant: _____

Principal Business _____

Address: *Street* _____ *City* _____ *State* _____ *Zip* _____

Phone: _____ Email*: _____

Date of birth: _____ Social security number: _____
MM/DD/YYYY

*Email addresses are often used by the Office to communicate with registered athlete agents or applicants. They are also a preferred means for many schools to provide notices to registered agents. Personal email addresses are confidential under §552.137 of the Texas Public Information Act. As a result, you must consent in writing if you would like for your email address to be released to schools or other persons requesting it.

I affirmatively consent to the release of my email address by the Texas Secretary of State.